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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/542,880

Filing Date July 20, 2005

First Named Inventor Yasuyuki Kitayama

Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket Number 441P095

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
☐ Fee Attached
- ☐ Amendment/Reply
☐ After Final
☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
☐ Express Abandonment Request
☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
☐ Reply to Missing Parts/
Incomplete Application
☐ Reply to Missing Parts
under 37 CFR 1.52 or 1.53

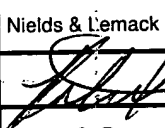
- ☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a
Provisional Application
☐ Power of Attorney, Revocation
Change of Correspondence Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s) _____
☐ Landscape Table on CD

- ☐ After Allowance Communication to TC
☐ Appeal Communication to Board
of Appeals and Interferences
☐ Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☒ Other Enclosure(s) (please identify
below):
-Form PTO-1449
-Copy of the non US references cited - 7
-Copy of the International Search Report
dated 5/11/04

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name Nields & Lemack

Signature 

Printed name Robert C. Frame

Date October 19, 2005

Reg. No. 54,104

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature



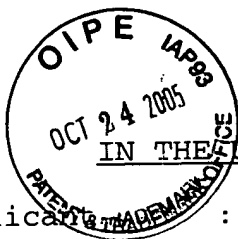
Typed or printed name

Robert C. Frame

Date October 19, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yasuyuki Kitayama et al.
Serial No. : 10/542,880
Filed : July 20, 2005
For : NEAR-INFRARED ABSORBING COMPOUND AND NEAR-
INFRARED ABSORBING FILTER USING SAME
Examiner : Not yet assigned
Art Unit : Not yet assigned
Confirmation
No. : Not yet assigned
Attorney
Docket No. : 441P095

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

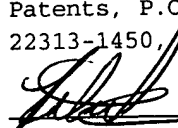
INFORMATION DISCLOSURE STATEMENT

The Examiner is respectfully requested to consider the documents, which are listed on the attached form PTO 1449.

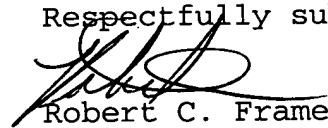
The relevance of the references cited are shown in the International Search Report filed herein on July 20, 2005, dated May 11, 2004, which indicates the degree of relevance found by the Japanese Patent Office.

Copies of the non U.S. Patents listed on the attached form are enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on **October 19, 2005**


Signature: **Robert C. Frame**
Date: **October 19, 2005**

Respectfully submitted,


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FORM PTO-1449

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

ATTY. DOCKET NO.

441P095

SERIAL NO.

10/542,880

Yasuyuki Kitayama et al.

FILING DATE

July 20, 2005

GROUP

Not yet assigned

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,945,209	8/1999	Okazaki et al.	428	304.4	
	AB	6,475,590	11/2002	Kitayama et al.	428	64.8	
	AC	2002/0033661	3/2002	Sugimachi et al.	313	479	
	AD						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	1 090 910	4/2001	Europe			X-SR	
	BB	1 176 436	1/2002	Europe			X-SR	
	BC	10-180947	10/1998	Japan				X-SR
	BD	2000-81511	3/2000	Japan				X-SR
	BE	2000-229931	8/2000	Japan				X-SR
	BF	2001-175185	6/2001	Japan				X-SR
	BG	99/67200	12/1999	WO			*-SR	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

CA		Copy of the International Search Report 5/11/04
CB		
CC		
EXAMINER		DATE CONSIDERED
EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance <i>and</i> not considered. Include copy of this form with next communication to applicant.		

SR=Cited in Search Report

*-English Abstract